



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q91836

Mikio AOKI

Appln. No.: 10/559,661

Group Art Unit: 1645

Confirmation No.: 5101

Examiner: Unknown

Filed: December 5, 2005

For:

METHOD OF NUCLEIC ACID INFUSION

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the document which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

- 1. U.S. Patent No. 6,228,392 issued May 8, 2001.
- 2. B. Neukamm et al., "Endocytosis is involved in DNA uptake in yeast", Biochimica et Biophysica Acta, Vol. 1572, No. 1, August 15, 2002, pp. 67-76.

One copy of the listed document is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for

Mikio AOKI

U.S. Appln. No.: 10/559,661

INFORMATION DISCLOSURE STATEMENT

continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee

under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign

language documents, Applicant encloses herewith a copy of a corresponding European Office Action

dated June 21, 2006 and Supplementary Partial European Search Report which cite such document and

indicate the degree of relevance found by the foreign patent office.

The submission of the listed document is not intended as an admission that any such document

constitutes prior art against the claims of the present application. Applicant does not waive any right to

take any action that would be appropriate to antedate or otherwise remove any listed document as a

competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and

the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said

Deposit Account.

Respectfully submitted,

Registration No. 53,892

SUGHRUE MION, PLLC

Telephone: (202) 293-7060

Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: July 20, 2006

2

Substitute for Form 1449 A & B/PTO

INFORMATION DISCLOSIFE

STATEMENT BY APPLICANT

Sheet

(use as many sheets as necessary)

Сотр	olete if Known	
Application Number	10/559,661	
Confirmation Number	5101	
Filing Date	December 5, 2005	
First Named Inventor	Mikio AOKI	
Art Unit	1645	
Examiner Name	Unknown	
Attorney Docket Number	Q91836	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number		Publication Date	
		Number	Kind Code ² (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Docume
		US 6,228,392	B1	05/08/2001	Morcos Paul Anton et al.
		US			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date	Name of Patentee or	
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶
				1		· · · · · · · · · · · · · · · · · · ·	
		 					
					L		

NON PATENT LITERATURE DOCUMENTS						
Examiner Cite Initials* No. 1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.				
		B. Neukamm et al., "Endocytosis is involved in DNA uptake in yeast", Biochimica et Biophysica Acta, Vol. 1572, No. 1, August 15, 2002, pp. 67-76.				
		210pinjanea 110mi, v eti 1272, 100 in, 110gast 10, 2002, pp. 01 v e				

Examiner Signature	Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.